

03/14/01
U.S. PTO

03-15-01

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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JG73

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	YAMAP0756US
First Inventor	Sugimoto et al.
Title	DRIVE, METHOD FOR READING . . .
Express Mail Label No.	EF297167721US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 43]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 00]
5. Oath or Declaration [Total Pages 00]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: **Certificate of Mailing & Letter Identifying Inventors.**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

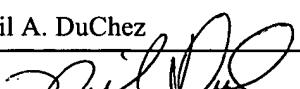
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label XXXXXXXXXX or Correspondence address below
(Insert Customer No. or Attach correspondence label here)

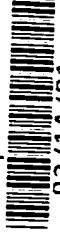
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Name (Print/Type)	Neil A. DuChez	Registration No. (Attorney/Agent)	26,725
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Signature			
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Date 3-14-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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03/14/01


CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date March 14, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EF297167721US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Farr
Typed or Printed Name of Person Mailing Paper

Janet Farr
(Signature of Person Mailing Paper)

YAMAP0756US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Group Art Unit:
Sugimoto et al. : Examiner
Express Mail No.: EF297167721US :
Filed: March 14, 2001 :
For: **DRIVE, METHOD FOR READING DATA, INFORMATION RECORDING MEDIUM
REPRODUCTION APPARATUS, AND METHOD FOR REPRODUCING DATA**

LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney in accordance with 37 CFR §1.53(b) and (d). The inventors are as follows:

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It is requested that the Patent Office direct all correspondence regarding this application to:

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Respectfully submitted,
RENNER, OTTO, BOISSELLE & SKLAR, LLP

By 
Neil A. DuChez
Reg. No. 26, 725